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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2004_0409

First Named Inventor : Setsuo KAJIWARA et al.

Title: ALLOY-BASED NANO-CRYSTAL TEXTURE AND METHOD OF
PREPARING THE SAME

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-14501. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)2. ☐ Small Entity Status is hereby asserted.3. ☒ Specification [Total Pages: 8]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer
program listing appendix.

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) (A4P) [Total Sheets: 10]5. ☒ Oath or Declaration [Total Pages: 3]
a.1. ☐ Newly executed (original or copy)
a.2. ☐ Unexecuted
b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet (see 37 CFR 1.76)7. ☐ CD-ROM or CD-R in duplicate, large table or computer
program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
- c. ☐ The paper and computer readable copies are identical

ACCOMPANYING APPLICATION PARTS

- 9. ☐ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☒ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
- 13. ☒ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. ☒ Other Change of Address
Claim of Priority under 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or
in an Application Data Sheet:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 10/419,119

Prior Application Information Examiner Andrew L. Oltnans

Group Art Unit 1742

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b,
is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

By:


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March 12, 2004

031204

13281 U.S. PTO

22390 U.S. PTO
10/798396

031204

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Setsuo KAJIWARA et al. :
Serial No. NEW : **Attn: APPLICATION BRANCH**
Filed March 12, 2004 : Attorney Docket No. 2004_0409

ALLOY-BASED NANO-CRYSTAL TEXTURE
AND METHOD OF PREPARING THE SAME
(Rule 1.53(b) Continuation
of Serial No. 10/419,119,
Filed April 21, 2003)

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$770.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application \$770.00

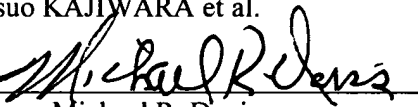
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Setsuo KAJIWARA et al.

By



Michael R. Davis

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March 12, 2004

[Check No. 60715]

2004_0409

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Setsuo KAJIWARA et al. :
Serial No. NEW : **Attn: APPLICATION BRANCH**
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ALLOY-BASED NANO-CRYSTAL TEXTURE
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**(Rule 1.53(b) Continuation
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THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

CHANGE OF ADDRESS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

Effective **IMMEDIATELY**, please note the following change of address for the undersigned
attorney of record:

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Suite 800
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Respectfully submitted,

Setsuo KAJIWARA et al.

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